

PATENT APPLICATION  
Attorney Do. No. 5038-118

#6 / Reg for  
Refund  
05-05-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent application of: Richard L. Coulson

Serial No. 09/981,620

Group Art Unit: 2122

Filed: October 16, 2001

Title: MASS STORAGE CACHING PROCESSES FOR POWER REDUCTION

Refunds Section  
Receipts Division  
Office of Finance  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR REFUND**

The October 31, 2001 Monthly Statement of Deposit Account No. 13-1703 reflects a charge of \$420 on October 22, 2001, under Control No. 30, for Patent Application No. 09/981,620, with a 103 Fee Code for excess claims. A copy of the Statement is attached as Exhibit A.

A copy of a credit card transaction report is attached (Exhibit B) showing the following charges:

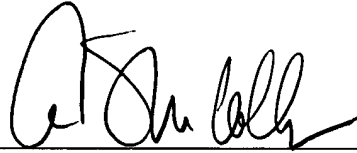
Date	Amount	Fee Code
10/22/01	\$740.00	101
10/22/01	\$336.00	102
10/22/01	\$40.00	581
10/22/01	\$420.00	103
10/22/01	\$390.00	103
10/22/01	\$40.00	101
10/22/01	\$40.00	102
10/22/01	\$40.00	581
10/22/01	\$40.00	103
10/22/01	\$40.00	103
TOTAL	\$2126.00	
EXCESS CHARGED	\$452.00	

A copy of our PTO-2038 (Exhibit C) shows that we authorized the filing fee for this application to be charged to our credit card. The correct filing fee is \$1,634.00 (\$740 filing fee, \$558 excess claims and \$336 excess independent claims). Additionally, a charge of \$40 should have been applied for the assignment recordation fee (totaling \$1,674.00, rather than the \$2126.00 that was charged).

Applicant requests a refund of \$420 be credited to Deposit Account No. 13-1703. Applicant additionally requests that a refund of \$452 be credited to the credit card in question.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



Alan T. McCollom  
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613



20575

PATENT TRADEMARK OFFICE

> should be \$390 ?

KU

\$370 credited back on 11/29/01


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

JLR

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

**MONTHLY STATEMENT**  
**OF DEPOSIT ACCOUNT**

 To replenish your Deposit Account, detach and  
 return top portion with your check. Make check  
 payable to Commissioner of Patents & Trademarks.

Account No.	131703
Date	10-31-01
Page	1

MARGER AND JOHNSON INC

FINA

1030 SW MORRISON STREET

PORTLAND OR 97205

 PLEASE SEND REMITTANCES TO:  
 Patent and Trademark Office  
 P.O. Box 70541  
 Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
10	1	01	1	09591809	4591-102	102	80.00	2771.00
10	3	01	1	09525154	9898-148	102	80.00	2691.00
10	3	01	2	09525154	9898-148	103	108.00	2583.00
10	3	01	4	09543595	4591-144	116	390.00	2193.00
10	3	01	38	09966994	3984-5	204	81.00	2112.00
10	3	01	188	09136798	9898-102	102	8.00	2104.00
10	4	01	1	09240208	3561-38	120	310.00	1794.00
10	4	01	2	09240208	3561-38	116	390.00	1404.00
10	4	01	32	09620018	4591-123	142	1240.00	164.00
10	4	01	202	09545691	1482-132	117	34.00	130.00
10	5	01	10	E-REPLENISHMENT		701	-5000.00	5130.00
10	5	01	154	09871241	8514-50	205	15.00	5115.00
10	9	01	101	476576		566	15.00	5100.00
10	9	01	187	477234		567	30.00	5070.00
10	9	01	340	477408		567	150.00	4920.00
10	9	01	364	477433		567	30.00	4890.00
10	9	01	365	477434		567	30.00	4860.00
10	9	01	367	477437		567	60.00	4800.00
10	10	01	17	09935002	5484-92	102	-320.00	5120.00
10	10	01	18	09935002	5484-92	103	72.00	5048.00
10	10	01	29	09887534	5707-118	102	34.00	5014.00
10	10	01	42	09882816	4861-2	205	15.00	4999.00
10	10	01	82	09859737	4430-69	215	15.00	4984.00
10	10	01	96	09968479	MJM3820-2	204	5.00	4979.00
10	10	01	133	29143229	5869-10	106	10.00	4969.00
10	10	01	205	09458506	5484-53	117	30.00	4939.00
10	11	01	16	09837885	1941-76	202	2.00	4937.00
10	15	01	2	09773399	HP10970454-2	148	110.00	4827.00
10	15	01	9	09898699	9898-176	122	130.00	4697.00
10	15	01	68	09882489	9898-159	103	38.00	4659.00
10	16	01	1	09107187	2705-36	115	110.00	4549.00
10	16	01	7	09898699	9898-176	122	-130.00	4679.00
10	16	01	9	29143229	5869-10	106	-10.00	4689.00
10	16	01	13	29143229	5869-10	105	10.00	4679.00
10	16	01	20	09878151	5869-9	101	22.00	4657.00
10	16	01	47	60328756	7293-32	114	10.00	4647.00

 AN AMOUNT SUFFICIENT TO  
 COVER ALL SERVICES REQUESTED  
 MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

 Ex A  
 Page 1 of 2

SEE BOTTOM INDICATES OVERDRAWN


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

**MONTHLY STATEMENT**  
**OF DEPOSIT ACCOUNT**

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Account No.	131703
Date	10-31-01
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 MARGER AND JOHNSON INC  
 1030 SW MORRISON STREET  
 PORTLAND OR 97205

FINA

 PLEASE SEND REMITTANCES TO:  
 Patent and Trademark Office  
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 Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
10	16	01	174	480238		567	GTK? 90.00	4557.00
10	17	01	130	09898699	9898-176	105	30.00	4527.00
10	18	01	4	09919575	5039-11	105	AM/JM 42.00	4485.00
10	18	01	9	09955420	9898-214	101	710.00	3775.00
10	19	01	22	09952645	4591-215	101	710.00	3065.00
10	19	01	23	09952645	4591-215	103	144.00	2921.00
10	19	01	24	09952645	4591-215	102	160.00	2761.00
10	22	01	7	09955388	9898-189	101	710.00	2051.00
10	22	01	29	09978497	9898-199	581	40.00	2011.00
10	22	01	30	09981620	5039-11	103	420.00	1591.00
10	22	01	30	09978497	9898-199	101	740.00	851.00
10	22	01	31	09978497	9898-199	102	420.00	431.00
10	22	01	32	09978497	9898-199	103	72.00	359.00
10	23	01	188	483625		567	} GTK 30.00	329.00
10	26	01	200	485713		567	? 120.00	209.00
10	30	01	5	E-REPLENISHMENT		701	-5000.00	5209.00

 Ex A  
 Page 2 of 2

 AN AMOUNT SUFFICIENT TO  
 COVER ALL SERVICES REQUESTED  
 MUST ALWAYS BE ON DEPOSIT.

 OPENING BALANCE  
 2851.00

 TOTAL CHARGES  
 8102.00

 TOTAL CREDITS  
 10460.00

 CLOSING BALANCE  
 5209.00

\*\*\* O.D. INDICATES OVERDRAWN

U.S. PATENT AND TRADEMARK OFFICE  
REVENUE ACCOUNTING AND MANAGEMENT SYSTEM

CREDIT CARD TRANSACTIONS

Card Number:  
...7171

Start Accounting Date:  
10/15/2001

End Accounting Date:  
10/31/2001

Date/Time of Report: Thu Dec  
6 12:23:44 2001

Credit Card Sales

Accounting Date	Create Date	Amount	Fee Code	Name/Number	Attorney Docket Number
10/19/2001	10/19/2001	\$740.00	101	09978445	5038-141
10/19/2001	10/19/2001	\$108.00	103	09978445	5038-141
10/19/2001	10/19/2001	<del>\$40.00</del>	581	09978445	5038-141
10/22/2001	10/22/2001	\$740.00	101	09981620	VESEY-1
10/22/2001	10/22/2001	\$338.00	102	09981620	VESEY-1
10/22/2001	10/22/2001	<del>\$40.00</del>	581	09981620	VESEY-1
10/22/2001	10/22/2001	\$420.00	103	09981620	VESEY-1
10/22/2001	10/22/2001	\$390.00	103	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	101	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	102	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	581	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	103	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	103	09981620	VESEY-1

Deposit Account Replenishments

Accounting Date	Create Date	Amount	Name/Number	DA Number
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No DA replenishments could be found.

Suspended Payments

Accounting Date	Sequence Num	Amount	Customer Name	Reference Text	Comments
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No suspended payments could be found.

Refunded Payments

Accounting Date	Refund Num	Amount	Name/Number	Customer Name
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No refunds could be found.

Ex

Page 1 of 1

PTO-2038 (02-2000)

Approved for use through 01/31/2003, OMB 0651-0043

United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## United States Patent &amp; Trademark Office

## Credit Card Payment Form

Please Read Instructions before Completing this Form

Credit Card Information			
Credit Card Type:	<u>Visa</u>	MasterCard	American Express Discover
Credit Card Account #:	4833 4960 0581 7171		
Credit Card Expiration Date:	04/02		
Name as it Appears on Credit Card:	Julie Reed		
Payment Amount \$(US Dollars):	<u>\$1,634 + \$40 = (\$1,674)</u>		
Signature:	<u>Julie L. Reed</u>	Date:	<u>10/16/01</u>
<small>Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account. Service Charge: There is a 50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.21(m)).</small>			
Credit Card Billing Address			
Street Address 1:	<u>Marger Johnson &amp; McCollum, P.C.</u>		
Street Address 2:	<u>1030 SW Morrison Street</u>		
City:	<u>Portland</u>		
State:	<u>OR</u>	Zip/Postal Code:	<u>97205</u>
Country:	<u>USA</u>		
Daytime Phone #:	<u>(503) 222-3613</u>	Fax #:	<u>(503) 274-4622</u>
Request and Payment Information			
Description of Request and Payment Information:			
<u>Patent Application Filing Fee</u> <u>\$1,634</u>		<u>Assignment Recordal Fee</u> <u>\$40</u>	
<u>Patent Fee</u>	Patent Maintenance Fee	Trademark Fee	Other Fee
Application No.	Application No.	Serial No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No.		Identify or Describe Mark	
<u>5038-118</u>			

PATENT APPLICATION  
Attorney Do. No. 5038-118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of: Richard L. Coulson

Serial No. 09/981,620

Group Art Unit: 2122

Filed: October 16, 2001

Title: MASS STORAGE CACHING PROCESSES FOR POWER REDUCTION

Refunds Section  
Receipts Division  
Office of Finance  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Enclosed for filing in the above-referenced application are the following:

- ☒ Request for Refund
- ☒ Return Postcard

OFFICE OF FINANCE  
RECEIVED  
2002 MAR -5 PM 6:15  
US PATENT & TRADEMARK  
OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



Alan T. McCollom  
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613



20575

PATENT TRADEMARK OFFICE

I HEREBY CERTIFY THAT  
THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE  
UNITED STATES POSTAL  
SERVICE AS FIRST CLASS  
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D.C. 20231

☒ ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON D.C.  
202321

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FOR TRADEMARKS 2900 CRYSTAL  
DRIVE ARLINGTON, VA 22202-3513

ON: 2/12/02  
Christina Lawler